

2023 ACH Rules Compliance Snapshot

Violation Submissions

Violations Reported • 549

Violations Acknowledged by Respondent • 507

ACH Rules Enforcement Panel Activity

Cases Decided by Panel • 172

Fines Assessed • 172

Fines Assessed Against Small Asset FIs • 152

Fines Assessed Against Medium Asset FIs • 8

Fines Assessed Against Large Asset FIs • 12

Total Amount of Fines Assessed • \$186,250

Nacha's ACH Rules Compliance provides the structure for evaluating possible violations of the Nacha Operating Rules. Through a formal system of warnings and fines, it corrects infractions and helps ACH Network Participants remain compliant with the Rules.

